## **2024 Professional Standards Statistics**

ETHICS								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
Complaints filed	53				53			
Filed by Members	17				17			
Filed by Public	36				36			
Filed by Public and Member	0				0			

GRIEVANCE COMMITTEE								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
Forwarded	23				23			
Citation Program Offered	1				1			
Citation Program Accepted	2				2			

MEDIATION of ETHICS COMPLAINTS									
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD				
Requested By a Party	8				8				
Refused By a Party	6				6				
Held	1				1				
Success	0				0				
HEARINGS **( <u>May include co</u>	ses from p	revious qua	rters/years)						
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD				
Held	19				19				
Members found in Violation	6				6				
W/D Prior to Hearing	2				2				

ABEYANCE **( <u>1 to 3 year holding periods</u> )								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
Holding	2				2			

DISCIPLINE RECOMMENDED	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	1				1
Letter of Reprimand	0				0
Education	9				9
Probation	0				0
Suspension	0				0
Expulsion	0				0
Fines - \$250 to \$5,000	1				1

ARBITRATIONS								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
Filed by Members	5				5			
Filed by Public	0				0			

GRIEVANCE COMMITTEE								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
Forwarded / Mandatory	3				3			
Forwarded / Voluntary	0				0			

MONETARY REQUESTS								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
\$1 - \$999	0				0			
\$1,000 - \$3,000	0				0			
\$3,001 - \$5,000	0				0			
\$5,001 - \$10,000	2				2			
\$10,001 - \$20,000	2				2			
\$20,001 + Over	1				1			

MEDIATION of ARBITRATION DISPUTES									
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD				
Held	0				0				
Success	0				0				
HEARINGS **(May include ca	ses from p	revious qua	rters/years)						
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD				
Held	2				2				
W/D Prior to Hearing	1				1				

MONETARY AWARDS							
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD		
\$0	2				2		
\$1 - \$999	0				0		
\$1,000 - \$3,000	0				0		
\$3,001 - \$5,000	0				0		
\$5,001 - \$10,000	0				0		
\$10,001 - \$20,000	0				0		
\$20,001 + Over	0				0		

OMBUDSMAN								
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD			
Requests Filed	5				5			
In process	0				0			
Resolved	1				1			
Involved existing ethics complaint	0				0			
Resolved existing ethics complaint	0				0			
Out of Scope	3				3			

MEDIATION of BBEEA							
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD		
Held	1				1		
Successful	1				1		

## 2023 Professional Standards Local AOR Statistics-Q4

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		REGION 1			JN 1	REGION 2			REGION 3				REGION 4		REGION 5		
# of Cases Filed	Non- Member Filings	Arizona REALTORS® 804	Bullhead City/ Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	Yuma 1490	**WeSERV 1470	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Phoenix 1440	Green Valley Sahuarita 1420	Tucson 1480	TOTALS
ARBs	0	0	0	0	0	0	1	0	0	0	0	0	0	2	0	2	5
ETHICs	1	1	1	0	1	0	15	0	4	0	0	0	3	9	2	5	42
Article 1	Charged Violated						2		1	1			3	1		1 1	8
Article 2	Charged Violated												1	2	1	1	2
Article 3	Charged Violated												1				1 0
Article 4	Charged Violated						2										2
Article 5	Charged Violated								1								0
Article 6	Charged Violated															1	0 1
Article 7	Charged Violated																0
Article 8	Charged Violated															1 1	1 1
Article 9	Charged Violated									1				1		1	2 1
Article 10	Charged Violated												1				0
Article 11	Charged Violated													1			0
Article 12	Charged Violated												2	1		2 1	5 2
Article 13	Charged Violated												1				1
Article 14	Charged Violated																0
Article 15	Charged Violated												1	1		1	3 1
Article 16	Charged Violated									1			1	1			1
Article 17	Charged Violated																0

<sup>\*\*</sup>Indicates complaints filed at Arizona REALTORS®which were then forwarded to WeSERV.