

## 2023 Professional Standards Statistics YTD

ETHICS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Complaints filed	52				
Filed by Members	16				
Filed by Public	35				
Filed by Public and Member	1				

GRIEVANCE COMMITTEE					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Forwarded	26				
Citation Program Offered	3				
Citation Program Accepted	1				

MEDIATION of ETHICS COMPLAINTS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	9				
Refused By a Party	7				
Held	2				
Success	2				

HEARINGS <b>** ( May include cases from previous quarters/years )</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	13				
Members found in Violation	12				
W/D Prior to Hearing	2				

ABEYANCE <b>** ( 1 to 3 year holding periods )</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Holding	1				

DISCIPLINE RECOMMENDED					
	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	4				
Letter of Reprimand	1				
Education	10				
Probation	0				
Suspension	0				
Expulsion	0				
Fines - \$250 to \$5,000	4				

2023 Professional Standards Statistics

ARBITRATIONS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Filed by Members	2				
Filed by Public	0				

GRIEVANCE COMMITTEE					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Forwarded / Mandatory	1				
Forwarded / Voluntary	0				

MONETARY REQUESTS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
\$1 - \$999	0				
\$1,000 - \$3,000	0				
\$3,001 - \$5,000	0				
\$5,001 - \$10,000	1				
\$10,001 - \$20,000	1				
\$20,001 + Over	0				

MEDIATION of ARBITRATION DISPUTES					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	5				
Success	1				

HEARINGS **(May include cases from previous quarters/years)					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	4				
W/D Prior to Hearing	1				

MONETARY AWARDS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
\$0	2				
\$1 - \$999	0				
\$1,000 - \$3,000	0				
\$3,001 - \$5,000	0				
\$5,001 - \$10,000	0				
\$10,001 - \$20,000	0				
\$20,001 + Over	2				

2023 Professional Standards Statistics

<b>OMBUDSMAN</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requests Filed	5				
In process	0				
Resolved	2				
Involved existing ethics complaint	0				
Resolved existing ethics complaint	0				
Out of Scope	3				

<b>MEDIATION of BBEEA</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	0				
Successful	0				

**2023 Professional Standards Local AOR Statistics-Q1**

# of Cases Filed	Non-Member Filings	REGION 1				REGION 2	REGION 3				REGION 4		REGION 5		TOTALS	
		Bullhead City/Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	Yuma 1490	**WeSERV 1470	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Phoenix 1440	Green Valley Sahuarita 1420		Tucson 1480
ARBs											1	1			2	
ETHICs	3	4			1	7		1	5		1	8	9	13	52	
<b>Article 1</b>	<i>Charged</i>		1					1	2			1	5		6	16
	<i>Violated</i>	1										1	1		3	6
<b>Article 2</b>	<i>Charged</i>											1			1	2
	<i>Violated</i>															0
<b>Article 3</b>	<i>Charged</i>	1				1					1	1			3	7
	<i>Violated</i>														2	2
<b>Article 4</b>	<i>Charged</i>														1	1
	<i>Violated</i>															0
<b>Article 5</b>	<i>Charged</i>		1													1
	<i>Violated</i>															0
<b>Article 6</b>	<i>Charged</i>															0
	<i>Violated</i>															0
<b>Article 7</b>	<i>Charged</i>															0
	<i>Violated</i>															0
<b>Article 8</b>	<i>Charged</i>															0
	<i>Violated</i>															0
<b>Article 9</b>	<i>Charged</i>		1												1	2
	<i>Violated</i>										2				1	3
<b>Article 10</b>	<i>Charged</i>	1											2			3
	<i>Violated</i>												1			1
<b>Article 11</b>	<i>Charged</i>							1	1							2
	<i>Violated</i>										2					2
<b>Article 12</b>	<i>Charged</i>					1		1			1	1				4
	<i>Violated</i>										1	1				2
<b>Article 13</b>	<i>Charged</i>										1					1
	<i>Violated</i>										2					2
<b>Article 14</b>	<i>Charged</i>															0
	<i>Violated</i>															0
<b>Article 15</b>	<i>Charged</i>															0
	<i>Violated</i>											1				1
<b>Article 16</b>	<i>Charged</i>					1					1				1	3
	<i>Violated</i>															0
<b>Article 17</b>	<i>Charged</i>															0
	<i>Violated</i>															0

\*\*Indicates complaints filed at Arizona REALTORS® which were then forwarded to WeSERV.