

## 2022 Professional Standards Statistics YTD

ETHICS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Complaints filed	51	53	47	41	192
Filed by Members	16	13	17	12	58
Filed by Public	34	39	29	26	128
Filed by Public and Member	1	1	1	3	6

GRIEVANCE COMMITTEE					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Forwarded	18	16	19	17	70
Citation Program Offered	1	4	2	1	8
Citation Program Accepted	1	2	1	1	5

MEDIATION of ETHICS COMPLAINTS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	6	7	11	4	28
Refused By a Party	4	3	6	3	16
Held	4	2	2	2	10
Success	4	2	2	2	10

HEARINGS <b>** ( May include cases from previous quarters/years )</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	11	14	6	9	40
Violation	4	3	3	5	15
W/D Prior to Hearing	10	3	3	5	21

ABEYANCE <b>** ( 1 to 3 year holding periods )</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Holding	1	1	1	1	4

DISCIPLINE RECOMMENDED					
	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	3	1	1	0	5
Letter of Reprimand	0	0	0	0	0
Education	3	4	4	6	17
Probation	0	0	0	0	0
Suspension	0	0	0	0	0
Expulsion	0	0	0	0	0
Fines - \$250 to \$5,000	3	2	2	2	9

2022 Professional Standards Statistics

ARBITRATIONS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Filed by Members	6	7	4	5	22
Filed by Public	0	0	0	0	0

GRIEVANCE COMMITTEE					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Forwarded / Mandatory	3	8	2	3	16
Forwarded / Voluntary	0	0	1	0	1

MEDIATION of ARBITRATION DISPUTES					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	0	4	7	1	12
Success	0	0	1	0	1

Hearings					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	1	1	4	0	6
W/D Prior to Hearing	0	1	0	0	1

MONETARY AWARDS	Requests	Awards
	YTD	YTD
\$0	0	3
\$1 - \$999	0	0
\$1,000 - \$3,000	1	0
\$3,001 - \$5,000	0	0
\$5,001 - \$10,000	2	1
\$10,001 - \$20,000	6	1
\$20,001 + Over	10	1

2022 Professional Standards Statistics

<b>OMBUDSMAN</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requests Filed	5	12	3	3	23
In process	0	0	0	0	0
Resolved	3	4	3	3	13
Involved existing ethics complaint	0	0	0	1	1
Resolved existing ethics complaint	0	0	0	0	0
Out of Scope	2	8	0	1	11

<b>MEDIATION of BBEEA</b>					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Held	0	1	2	2	5
Successful	0	0	2	1	3

**2022 Professional Standards Local AOR Statistics**

# of Cases Filed	Non-Member Filings	REGION 1				REGION 2	REGION 3					REGION 4		REGION 5		TOTALS	
		Bullhead City/Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	Yuma 1490	**WeSERV 1470	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Phoenix 1440	Green Valley Sahuarita 1420	Tucson 1480		
ARBs	0					1						2				1	5
ETHICs	1	2	1			11		1		1		4	13			7	41
Article 1	Charged	1				1						3	3			6	14
	Violated							1				2					3
Article 2	Charged					1						2	1			1	5
	Violated																0
Article 3	Charged												1		1		2
	Violated																0
Article 4	Charged					1							1				2
	Violated																0
Article 5	Charged																0
	Violated																0
Article 6	Charged																0
	Violated																0
Article 7	Charged																0
	Violated																0
Article 8	Charged																0
	Violated																0
Article 9	Charged					1						2	1		3		7
	Violated		1														1
Article 10	Charged												1				1
	Violated																0
Article 11	Charged							1				2					3
	Violated																0
Article 12	Charged							1									1
	Violated												1				1
Article 13	Charged												1				1
	Violated																0
Article 14	Charged																0
	Violated																0
Article 15	Charged												1				1
	Violated												1				1
Article 16	Charged												2				2
	Violated																0
Article 17	Charged														2		2
	Violated																0

\*\*Indicates complaints filed at Arizona REALTORS® which were then forwarded to WeSERV.