## 2020 Professional Standards Local AOR Statistics YTD 2020 Professional Standards Statistics

E <u>THICS</u>												
Qtr1 Qtr2 Qtr3 Qtr4												
Complaints filed	20	17	29	31	97							
Filed by Members	6	5	6	6	23							
Filed by Public	14	12	23	24	73							

GRIEVANCE COMMITTEE											
Forwarded	13	9	23	11	56						
Citation Program Offered				1	1						
Citation Program Accepted					0						
HEARINGS **( May include cases from previous quarters/years )											
Held	7	18	14	16	55						
Scheduled	6	19	13	17	55						
To Be Scheduled			6		6						
W/D Prior to Hearing	7	4	5		16						
MEDIATION of	ETHIC CON	//PLAINTS									
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD						
Requested By a Party	7	4	1	9	21						
Refused By a Party	3			6	9						
Resolved By Parties					0						
Held	1	8	1	2	12						
Success		3		2	5						
ABEYANCE **( 1 to 3 year holding periods )											
Holding	8	8	8								

DISCIPLINE RECOMMENDED	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	0		1	4	5
Letter of Reprimand	3	3	5	3	14
Education	3	3	5	7	18
Probation					0
Suspension					0
Expulsion					0
Fines - \$250 to \$5,000	2	2	2	4	10

## 2020 Professional Standards Local AOR Statistics YTD 2020 Professional Standards Statistics

ARBITRATIONS											
Qtr1 Qtr2 Qtr3 Qtr4 Y											
Filed	4	5	7	7	23						
Filed by Members	4	5	6	7	22						
Filed by Public			1		1						

GRIEVANCE COMMITTEE											
Forwarded / Mandatory	4	1	9	7	21						
Forwarded / Voluntary					0						

MEDIATION of ARBITRATION DISPUTES												
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD							
Requested By a Party	1	5	10	2	18							
Mandatory Mediation		2	9	11	22							
Refused By a Party	1			1	2							
Resolved By Parties		1	1	1	3							
Held		4	2	5	11							
Success			2	4	6							

HEARINGS										
Held		2	2	2	6					
Scheduled		2	5	2	9					
To Be Scheduled		1	4		5					
W/D Prior to Hearing			2		2					

	Requests	Awards
MONETARY AWARDS	YTD	YTD
\$0 - \$999		1
\$1,000 - \$3,000	1	
\$3,001 - \$5,000		
\$5,001 - \$10,000	3	
\$10,001 - \$20,000	3	
\$20,001 + Over	8	3

## **2020 Professional Standards Local AOR Statistics**

				REGION 2				REGION 4 REGION 5			ON 5					
		Bullhead City/	Kingman							Sedona				Green		
	Non-	Mohave	Golden	Lake			Central	Northern		Verde	White			Valley		
# of Cases		Valley	Valley	Havasu	Yuma	WeSERV	Arizona	Arizona	Prescott	Valley	Mountains	Scottsdale	Phoenix	Sahuarita	Tucson	TOTALC
Filed ARBs	Filings	1385 <b>1</b>	1425	1430	1490	1470	1400	1435	Area 1445	1460 <b>2</b>	1485 <b>1</b>	1455 <b>9</b>	1440 <b>10</b>	1420	1480	TOTALS 23
ETHICs		2	3	2			5	1	9			14	19	2	13	79
Lines						<u> </u>					,	17	13		13	,,
Article 1	Charged					2	1		8	1	6	5	6	1	6	36
Article 1	Violated					_			2	_	2	1	1			6
Article 2	Charged					1	4		4		7		4	1	1	22
	Violated								1		1					2
Article 3	Charged										1			1	2	4
	Violated	9														9
Article 4	Charged															0
	Violated										_					0
Article 5	Charged Violated										1				1	2 0
Article 6	Charged															0
Article 6	Violated															0
Article 7	Charged															0
7 ( ( )	Violated															0
Article 8	Charged								1							1
	Violated															0
Article 9	Charged								1		3	2	2			8
	Violated										1	1				2
Article 10	Charged								4					1		5
	Violated								1							1
Article 11	Charged						1		2		1		1	1		6
	Violated						_		1		_		_		_	1
Article 12	Charged						2		2	1	2		2	1	1	11 0
A 4.2	Violated															
Article 13	Charged Violated															0
Article 14	Charged															0
	Violated															0
Article 15	Charged						1		2		3	2	1			9
	Violated															0
Article 16	Charged								1				1			2
	Violated															0
Article 17	Charged								1							1
	Violated															0