

2020 Professional Standards Local AOR Statistics YTD
2020 Professional Standards Statistics

ETHICS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Complaints filed	20	17	29	23	89
Filed by Members	6	5	6	6	23
Filed by Public	14	12	23	17	66

GRIEVANCE COMMITTEE					
Forwarded	13	9	23	11	56
Citation Program Offered				1	1
Citation Program Accepted					0

HEARINGS <i>** (May include cases from previous quarters/years)</i>					
Held	7	18	14	16	55
Scheduled	6	19	13	17	55
To Be Scheduled			6		6
W/D Prior to Hearing	7	4	5		16

MEDIATION of ETHIC COMPLAINTS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	7	4	1	9	21
Refused By a Party	3			6	9
Resolved By Parties					0
Held	1	8	1	2	12
Success		3		2	5

ABEYANCE <i>** (1 to 3 year holding periods)</i>					
Holding	8	8	8		

DISCIPLINE RECOMMENDED	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	0		1	4	5
Letter of Reprimand	3	3	5	3	14
Education	3	3	5	7	18
Probation					0
Suspension					0
Expulsion					0
Fines - \$250 to \$5,000	2	2	2	4	10

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ARBITRATIONS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Filed	4	5	7	5	21
Filed by Members	4	5	6	5	20
Filed by Public			1		1

GRIEVANCE COMMITTEE					
Forwarded / Mandatory	4	1	9	5	19
Forwarded / Voluntary					0

MEDIATION of ARBITRATION DISPUTES					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	1	5	10	2	18
Mandatory Mediation		2	9	11	22
Refused By a Party	1			1	2
Resolved By Parties		1	1	1	3
Held		4	2	5	11
Success			2	4	6

HEARINGS					
Held		2	2	2	6
Scheduled		2	5	2	9
To Be Scheduled		1	4		5
W/D Prior to Hearing			2		2

MONETARY AWARDS	Requests YTD	Awards YTD
\$0 - \$999		1
\$1,000 - \$3,000	1	
\$3,001 - \$5,000		
\$5,001 - \$10,000	3	
\$10,001 - \$20,000	3	
\$20,001 + Over	8	3

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OMBUDSMAN					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requests Filed	16	10	8	9	43
In process					0
Resolved		5	6	7	18
Involved existing ethics complaint					0
Resolved existing ethics complaint	5				5
Out of Scope	4	1		1	6

