

2019 Professional Standards Quarter 4 - YTD Statistics
Ethics / Arbitration / Mediation / Ombudsman

ETHICS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Complaints filed	33	31	30	23	117
Filed by Members	7	12	19	9	47
Filed by Public	26	19	11	14	70

GRIEVANCE COMMITTEE					
Forwarded	25	25	26	18	94
Citation Program Offered	0	3	1	0	4
Citation Program Accepted	0	0	1	0	1

HEARINGS <i>** (May include cases from previous quarters/years)</i>					
Held	5	11	13	18	47
Scheduled	5	17	22	12	56
To Be Scheduled	21	20	0		41
W/D Prior to Hearing	4	3	9	5	21

ABEYANCE <i>** (1 to 3 year holding periods)</i>					
Holding	7	8	8	8	8

DISCIPLINE RECOMMENDED	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	1	3	5	3	12
Letter of Reprimand	3	3	3	6	15
Education	4	6	7	6	23
Probation					0
Suspension					0
Expulsion					0
Fines - \$250 to \$5,000	4	5	4	9	22

ARBITRATIONS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Filed	5	6	9	5	25
Filed by Members	5	6	9	5	25
Filed by Public					0

GRIEVANCE COMMITTEE					
Forwarded / Mandatory	5	5	4	3	17
Forwarded / Voluntary			5		5

Held	1	1	0	1	3
Scheduled	2	2	2	1	7
To Be Scheduled	3	5	1	1	10
W/D Prior to Hearing			2		2

MONETARY AWARDS	Requests	Awards
	YTD	YTD
\$0 - \$999		1
\$1,000 - \$3,000	1	
\$3,001 - \$5,000	2	
\$5,001 - \$10,000	5	
\$10,001 - \$20,000	5	2
\$20,001 + Over	6	

MEDIATION of ETHIC COMPLAINTS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	10	9	10	14	43
Refused By a Party	1	3	4	5	13
Resolved By Parties	1				1
Held	1	4	6	2	13
Success	1	4	5	2	12

MEDIATION of ARBITRATION DISPUTES					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	2	6	11	5	24
Mandatory Mediation	2	2	5	2	11
Refused By a Party		1			1
Resolved By Parties	1			1	2
Held	1	3	1	3	8
Success	1	1	1	3	6

OMBUDSMAN					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requests Filed	15	7	14	16	52
In process					0
Resolved	6	4	8	10	28
Involved existing ethics complaint					0
Resolved existing ethics complaint					0
Out of Scope	4	2	2	4	12

2019 Professional Standards Local Quarterly Statistics

Ethics / Arbitration		REGION 1					REGION 2			REGION 3				REGION 4		REGION 5					TOTALS		
		Bullhead City Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	La Paz 1426	Yuma 1490	Phoenix 1440	SEVRAR 1470	West Maricopa 1410	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Western Pinal 1395	Douglas 1405	Graham Greenlee 1415	Green Valley Sahuarita 1420	Santa Cruz County 1450		Southeast Arizona 1465	Tucson 1480
# of Cases Filed	Non-Member Filings																						
ARBs				2			3		6			1	1		11							1	25
ETHICS		2	2	1		1	25		18	1	6	4	3	2	30						3	19	117
Article 1	Charged	2	2	1		1	17		8	1	4	4		2	16						3	13	74
	Violated			1			6		1		11	1		3								4	27
Article 2	Charged	1				1	14		5		2	2	1	2	6						1	2	37
	Violated			1																		1	2
Article 3	Charged								2				1	1	4							1	9
	Violated						2																2
Article 4	Charged								1						2							1	4
	Violated																						0
Article 5	Charged					1	1		2														4
	Violated																						0
Article 6	Charged					1								1	1							2	5
	Violated																						0
Article 7	Charged												1									1	2
	Violated																						0
Article 8	Charged														2							2	4
	Violated																					1	1
Article 9	Charged			8	8	1	4		1		2		1	1	2						2	3	33
	Violated						1					1			1								3
Article 10	Charged								2				1		1							1	5
	Violated			3																		1	4
Article 11	Charged			6			1		2						5							2	16
	Violated			6		1	4								1						1	1	14
Article 12	Charged						7		7		1	1	1	1	4							3	25
	Violated						2								2								4
Article 13	Charged						1								1								2
	Violated			9																			9
Article 14	Charged												2									2	4
	Violated																						0
Article 15	Charged						5		2		2				5							5	19
	Violated								1						1								2
Article 16	Charged						2		4		1	1	1		8							1	18
	Violated														1								1
Article 17	Charged								1		1				2							1	6
	Violated																						0