

2017 Professional Standards Local AOR Statistics YTD
2017 Professional Standards Statistics

ETHICS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Complaints filed	31	31	26	28	116
Filed by Members	14	19	6	11	50
Filed by Public	17	17	20	17	71

GRIEVANCE COMMITTEE					
Forwarded	18	15	19	15	67
Citation Program Offered	2	1	1	3	7
Citation Program Accepted	0	1	1	1	3

HEARINGS <i>** (May include cases from previous quarters/years)</i>					
Held	5	11	5	7	28
Scheduled	0	12	5	7	24
To Be Scheduled	18	12	19	26	75
W/D Prior to Hearing	8	8	6	9	31

ABEYANCE <i>** (1 to 3 year holding periods)</i>					
Holding	9				9

DISCIPLINE RECOMMENDED	Qtr1	Qtr2	Qtr3	Qtr 4	YTD
Letter of Warning	0	0	0	1	1
Letter of Reprimand	0	3	4	3	10
Education	2	3	4	4	13
Probation	0	0	0	0	0
Suspension	0	0	0	0	0
Expulsion	0	0	0	0	0
Fines - \$250 to \$5,000	2	3	4	4	13

ARBITRATIONS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Filed	5	11	5	8	29
Filed by Members	5	10	5	7	27
Filed by Public	0	1	0	1	2

GRIEVANCE COMMITTEE					
Forwarded / Mandatory	4	4	3	7	18
Forwarded / Voluntary	0	0	1	0	1

HEARINGS ** (May include cases from previous quarters/years)					
Held	2	3	4	1	10
Scheduled	0	0	5	0	5
To Be Scheduled	5	0	2	1	8
W/D Prior to Hearing	3	3	1	2	9

MONETARY AWARDS	Requests	Awards
	YTD	YTD
\$0 - \$999	0	0
\$1,000 - \$3,000	1	2
\$3,001 - \$5,000	0	1
\$5,001 - \$10,000	1	1
\$10,001 - \$20,000	2	1
\$20,001 + Over	0	0

MEDIATION of ETHIC COMPLAINTS					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	12	3	8	7	30
Refused By a Party	3	4	0	4	11
Resolved By Parties	0	0	2	7	9
Held	3	5	0	2	10
Success	3	4	0	2	9

MEDIATION of ARBITRATION DISPUTES					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requested By a Party	7	17	11	7	42
Mandatory Mediation	3	9	3	4	19
Refused By a Party	2	1	5	2	10
Resolved By Parties	1	2	0	0	3
Held	4	9	4	4	21
Success	4	2	3	3	12

OMBUDSMAN					
	Qtr1	Qtr2	Qtr 3	Qtr 4	YTD
Requests Filed	9	15	17	15	56
In process	0	0	1	0	1
Resolved	4	12	8	8	32
Involved existing ethics complaint	0	2	0	0	2
Resolved existing ethics complaint	0	0	8	0	8
Out of Scope	3	3	4	2	12

2017 Professional Standards Local AOR Statistics - 4th Qtr

# of Cases Filed	Non-Member Filings	REGION 1					REGION 2			REGION 3					REGION 4		REGION 5					TOTALS	
		Bullhead City Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	La Paz 1426	Yuma 1490	Phoenix 1440	SEVRAR 1470	West Maricopa 1410	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Western Pinal 1395	Douglas 1405	Graham Greenlee 1415	Green Valley Sahuarita 1420	Santa Cruz County 1450	Southeast Arizona 1465		Tucson 1480
ARBs				3			7		3		1	4		11								29	
ETHICs	1	2	1	4	0	3	30	0	19	0	1	5	4	2	26	1	0	0	0	0	0	17	116
Article 1	<i>Charged</i>	2		1		2	19		11		1	2	2	1	11							11	63
	<i>Violated</i>		1			1	1		2														5
Article 2	<i>Charged</i>			1		2	8		9			2	2		6							2	32
	<i>Violated</i>					1	1		2														4
Article 3	<i>Charged</i>			1			1		4			1			2							1	10
	<i>Violated</i>																						0
Article 4	<i>Charged</i>						2		1						2							2	7
	<i>Violated</i>																						0
Article 5	<i>Charged</i>														2							1	3
	<i>Violated</i>																						0
Article 6	<i>Charged</i>								1						1								2
	<i>Violated</i>																						0
Article 7	<i>Charged</i>			1																			1
	<i>Violated</i>																						0
Article 8	<i>Charged</i>								1			1			1								3
	<i>Violated</i>																						0
Article 9	<i>Charged</i>	1					3		4			1	1		4							2	16
	<i>Violated</i>					1			1														2
Article 10	<i>Charged</i>						1		1						2								4
	<i>Violated</i>																						0
Article 11	<i>Charged</i>						2		2			1		1	3							2	11
	<i>Violated</i>						1		2														3
Article 12	<i>Charged</i>	1		2		2	4		5			1	1	1	5							4	26
	<i>Violated</i>					1			1													1	3
Article 13	<i>Charged</i>						1		1						1								3
	<i>Violated</i>																						0
Article 14	<i>Charged</i>						1		1						1	1						1	5
	<i>Violated</i>																						0
Article 15	<i>Charged</i>					1	6		4			2	1		2							5	21
	<i>Violated</i>					1	2		1														4
Article 16	<i>Charged</i>						2		2				1		2							1	8
	<i>Violated</i>						2																2
Article 17	<i>Charged</i>								1														1
	<i>Violated</i>																						0