

**2017 Professional Standards Local AOR Statistics YTD**  
**2017 Professional Standards Statistics**

<b>ETHICS</b>					
	Jan-17	Feb-17	Mar-17		YTD
Complaints filed	15	3	13	0	31
Filed by Members	4	1	9	0	14
Filed by Public	11	2	4	0	17

<b>GRIEVANCE COMMITTEE</b>					
Forwarded	7	2	9	0	18
Citation Program Offered	1	0	1	0	2
Citation Program Accepted	0	0	0	0	0

<b>HEARINGS <i>** ( May include cases from previous quarters/years )</i></b>					
Held	0	2	3	0	5
Scheduled	0	0	0	0	0
To Be Scheduled	7	2	9	0	18
W/D Prior to Hearing	2	1	4	0	7

<b>ABEYANCE <i>** ( 1 to 3 year holding periods )</i></b>					
Holding	9				9

<b>DISCIPLINE RECOMMENDED</b>	Jan-17	Feb-17	Mar-17		YTD
Letter of Warning	0	0	0	0	0
Letter of Reprimand	2	0	0	0	0
Education	2	0	0	0	2
Probation	0	0	0	0	0
Suspension	0	0	0	0	0
Expulsion	0	0	0	0	0
Fines - \$250 to \$5,000	2	0	0	0	2

<b>ARBITRATIONS</b>					
	Jan-17	Feb-17	Mar-17		YTD
Filed	1	0	4	0	5
Filed by Members	1	0	4	0	5
Filed by Public	0	0	0	0	0

<b>GRIEVANCE COMMITTEE</b>					
Forwarded / Mandatory	1	0	3	0	4
Forwarded / Voluntary	0	0	0	0	0

<b>HEARINGS ** ( <i>May include cases from previous quarters/years</i> )</b>					
Held	0	0	0	0	0
Scheduled	0	0	0	0	0
To Be Scheduled	1	0	4	0	5
W/D Prior to Hearing	0	0	4	0	4

<b>MONETARY AWARDS</b>	Requests YTD	Awards YTD
\$0 - \$999	0	
\$1,000 - \$3,000	1	
\$3,001 - \$5,000	0	
\$5,001 - \$10,000	0	
\$10,001 - \$20,000	0	
\$20,001 + Over	0	

<b>MEDIATION of ETHIC COMPLAINTS</b>					
	Jan-17	Feb-17	Mar-17		YTD
Requested By a Party	6	4	2	0	12
Refused By a Party	2	1	0	0	3
Resolved By Parties	0	0	0	0	0
Held	1	1	1	0	3
Success	1	1	1	0	3

<b>MEDIATION of ARBITRATION DISPUTES</b>					
	Jan-17	Feb-17	Mar-17		YTD
Requested By a Party	2	2	3	0	7
Mandatory Mediation	1	0	2	0	3
Refused By a Party	1	0	1	0	2
Resolved By Parties	1	0	0	0	1
Held	1	1	2	0	4
Success	1	1	2	0	4

<b>OMBUDSMAN</b>					
	Jan-17	Feb-17	Mar-17		YTD
Requests Filed	1	4	4	0	9
In process	0	0	0	0	0
Resolved	0	2	2	0	4
Involved existing ethics complaint	0	0	0	0	0
Resolved existing ethics complaint	0	0	0	0	0
Out of Scope	0	2	1	0	3

**2017 Professional Standards Local AOR Statistics YTD**

# of Cases Filed	Non-Member Filings	REGION 1					REGION 2			REGION 3				REGION 4		REGION 5					TOTALS		
		Bullhead City Mohave Valley 1385	Kingman Golden Valley 1425	Lake Havasu 1430	La Paz 1426	Yuma 1490	Phoenix 1440	SEVRAR 1470	West Maricopa 1410	Central Arizona 1400	Northern Arizona 1435	Prescott Area 1445	Sedona Verde Valley 1460	White Mountains 1485	Scottsdale 1455	Western Pinal 1395	Douglas 1405	Graham Greenlee 1415	Green Valley Sahuarita 1420	Santa Cruz County 1450		Southeast Arizona 1465	Tucson 1480
ARBs			<b>1</b>					<b>2</b>						<b>2</b>									<b>5</b>
ETHICs	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>10</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>31</b>
<b>Article 1</b>	<i>Charged</i>					<b>1</b>	<b>7</b>	<b>2</b>						<b>4</b>									<b>14</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 2</b>	<i>Charged</i>						<b>2</b>	<b>2</b>		<b>1</b>													<b>5</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 3</b>	<i>Charged</i>			<b>1</b>				<b>1</b>														<b>1</b>	<b>3</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 4</b>	<i>Charged</i>						<b>1</b>							<b>1</b>									<b>2</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 5</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 6</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 7</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 8</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 9</b>	<i>Charged</i>									<b>1</b>													<b>1</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 10</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 11</b>	<i>Charged</i>						<b>1</b>							<b>2</b>									<b>3</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 12</b>	<i>Charged</i>						<b>1</b>		<b>2</b>					<b>2</b>									<b>7</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 13</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 14</b>	<i>Charged</i>						<b>1</b>							<b>1</b>									<b>2</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 15</b>	<i>Charged</i>					<b>1</b>	<b>2</b>		<b>1</b>					<b>1</b>									<b>5</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 16</b>	<i>Charged</i>						<b>2</b>		<b>1</b>				<b>1</b>	<b>1</b>									<b>5</b>
	<i>Violated</i>																						<b>0</b>
<b>Article 17</b>	<i>Charged</i>																						<b>0</b>
	<i>Violated</i>																						<b>0</b>

\*\* Bold/Red print indicates new quarterly activity